



As of January 29, 2024

Brochure Supplement

John C. Corso, Investment Advisor Representative

ITEM 1 – COVER PAGE

John C. Corso
476 Rolling Ridge Drive, Suite 333
State College, PA 16801
(814) 235-3511
jcorso@pfginc.com

This Brochure Supplement provides information about John C. Corso and supplements the Vicus Capital Brochure (ADV Part 2A). You should have received a copy of that Brochure. Please call (855) 558-4287 if you did not receive the Brochure, or if you have any questions about the content of this supplement.

Additional information about Mr. Corso is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John C. Corso
Born 1977

Educational Background

University of South Florida, Bachelor's Degree in History – 2000
Bishop Moore High School, High School Diploma – 1996

Business Experience

PFG Legacy Advisors LLC, Wealth Advisor, 02/2023 – Present

Cetera Advisor Networks LLC, Registered Representative, 2020 – Present

Vicus Capital, Inc. (Previously PFG Financial Advisors), Investment Advisor Representative, 2006 – Present

PFG, Inc., Business Development Associate, 2019 – 2020
Cetera Advisor Networks LLC, Registered Admin, 2019 – 2020

Vicus Capital, Inc., Director of Business Development, 2018

Vicus Capital, Inc., National Sales Director, 2015 – 2017

Cetera Advisor Networks LLC, Registered Representative, 2013 – 2017

PFG, Inc., Southeast Director of Compliance and Recruiting, 2009 – 2015

Arntzen Corso Advisors, Inc., Financial Advisor, Vice-President, 2008 – 2015

Walnut Street Securities, Registered Representative, 2005 – 2013

Professional Designations, Securities, and Insurance Licenses

Certified Financial Planner CFP®

Certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services. Prerequisites/Experience Required: Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and three years of full-time financial planning experience (or equivalent measured at 2,000 hours per year). Educational Requirements: Comprehensive certification exam administered in 10 hours over a 2-day period, and agreeing to be bound by the CFP® Board's standard of professional conduct.

Continuing Education: 30 hours every 2 years and renewing an agreement to be bound by the standards of professional conduct.

Series 7 – General Securities Representative:

Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 24 – General Securities Principal: Requirements include passing a 150 multiple choice question



Brochure Supplement

John C. Corso, Investment Advisor Representative

examination within 3 hours and 45 minutes. This examination qualifies the holder to supervise and manage branch activities. The Series 7 is a pre-requisite.

Series 66 – Uniform Combined State Law Examination: Requirements include passing a 100 multiple choice question examination within 2 hours and 30 minutes. This examination qualifies the holder to act as an investment advisor representative and state securities agents. Successful completion of this examination is equivalent to successful completion of both the Series 63 and Series 65 examinations. The Series 7 is a co-requisite.

Accident, Life and Health Insurance License

ITEM 3 – DISCIPLINARY INFORMATION

Mr. Corso has not been subject to any legal or disciplinary events.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Corso is a Registered Representative (RR) of a Broker Dealer. In his capacity as a RR, he is authorized to offer certain products, including insurance, annuities and securities, issued, offered, or authorized by his Broker Dealer.

As a RR, Mr. Corso also may service securities or insurance products on behalf of the company issuing the product. Depending on his capacity, he is compensated by the Broker Dealer, for sale, renewal and servicing of products authorized by the Broker Dealer. This compensation includes base commissions and other forms of compensation that may vary from product to product. Please be aware that Mr. Corso, depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he will receive. This potential conflict of interest is addressed by the Broker Dealer and Vicus Capital through training and supervision and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel.

Outside Business Activities

PFG Legacy Advisors LLC, Owner/Wealth Advisor

John C. Corso, Owner, Rental Property

Independent Insurance Agent, sell and service Insurance products through various companies.

ITEM 5 – ADDITIONAL COMPENSATION

A third-party investment advisor may sponsor its own conferences for training and educational purposes to which Mr. Corso may be invited. Mr. Corso may attend these conferences without charge. In addition, the third-party investment advisor may also reimburse or pay for the travel and other related or miscellaneous expenses. Mr. Corso may also be eligible for non-cash compensation (such as conferences) from his Broker Dealer based on his overall sales productivity.

Mr. Corso received a business loan from Vicus Capital which is conditioned on him retaining Vicus Capital as his registered investment advisor. This creates a conflict of interest as he needs to retain affiliation in order to avoid early payment on the loan. Vicus Capital maintains a Code of Ethics requiring him to always act in your best interest and maintains a supervisory structure to monitor the advisory activities of him in order to reduce potential conflicts of interest.

ITEM 6 – SUPERVISION

Mr. Corso is supervised by Kimberli J. Casner, Chief Compliance Officer. Mrs. Casner can be reached at (855) 558-4287.